

| | |
|---|--|
| Meeting of South Ayrshire Health and Social Care Partnership | Performance and Audit Committee |
| Held on: | 18th February 2025 |
| Agenda Item: | 6 |
| Title: | South Ayrshire HSCP Strategic Risk Register |
| Summary: | |
| <p>The purpose of this report is to provide an update on the previous Strategic Risk Register for scrutiny and further comment. This report provides assurance there remains a number of Strategic Risks with arrangements to manage these and mitigations are in place to address these. This report was presented to the Risk and Resilience meeting in December 2024, with some minor amendments to that report being requested.</p> | |
| Author: | Sheila Tyeson, Senior Manager |
| Recommendations: | |
| <p>It is recommended that the Risk and Resilience Group</p> <ul style="list-style-type: none"> i. Note the content of the HSCP Strategic Risk Register (Appendix 1) ii. Agree the actions as noted in 4.2 – 4.13 | |
| Route to meeting: | |
| <p>The Strategic Risk Register was developed by HSCP Officers and agreed by the Integration Joint Board in May 2021. Both the Risk and Resilience Group and Performance and Audit Committee receive regular updates on the agreed Strategic Risk associated with the delivery of the HSCP Strategic Plan. Updates are provided to the governance groups and committee's every 6 months. This report is scrutinised also by the Risk and Resilience Group in advance of coming to Performance and Audit Committee.</p> | |
| Implications: | |
| Financial | <input type="checkbox"/> |
| HR | <input type="checkbox"/> |
| Legal | <input type="checkbox"/> |
| Equalities | <input type="checkbox"/> |
| Sustainability | <input type="checkbox"/> |
| Policy | <input type="checkbox"/> |
| ICT | <input type="checkbox"/> |

SOUTH AYRSHIRE HEALTH AND SOCIAL CARE PARTNERSHIP STRATEGIC RISK REGISTER

1. PURPOSE OF REPORT

- 1.1 The purpose of this report is to provide an update on the previous Strategic Risk Register for scrutiny and further comment. This report provides assurance there remains a number of Strategic Risks with arrangements to manage these and mitigations are in place to address these. This report was presented to the Risk and Resilience meeting in December 2024, with some minor amendments to that report being requested.

2. RECOMMENDATION

2.1 It is recommended that the Performance and Audit Committee

- i. **Note the content of the HSCP Strategic Risk Register (Appendix 1)**
- ii. **Agree the actions as noted 4.2 – 4.13**

3. BACKGROUND INFORMATION

- 3.1 South Ayrshire Health and Social Care Partnership Integrated Joint Board agreed the inclusion of a Strategic Risk Register at its meeting May 2021. Since implementation the strategic risks have been managed with a number of mitigations moving to business as usual. Updates are agreed to be received six monthly to the Performance and Audit Committee for scrutiny and comment and taken to the IJB for further assurance when appropriate. Prior to PAC, the report is taken to the HSCP Governance Risk and Resilience group.
- 3.2 At present the information is held and updated in the Council's reporting system, Pentana. Reports for this are generated through the application when downloaded. It is the responsibility of accountable owners to ensure that the updates for each of their allocated/associated risks is updated.

4. REPORT

- 4.1 Appendix 1 is being presented as the refreshed and updated HSCP Strategic Risk Register as agreed by risk owners. The format for presenting this mirrors the presentation style presented to South Ayrshire Council relevant committee's and cabinet by the Lead officer for Risk and Safety. There are currently **4 High Risks** noted in the report.

Protection

- 4.2 **Risk 1 (Adults and Child Protection)** it is proposed that the current mitigations 1 – 20 be agreed as 'Business as Usual' as these are now embedded into daily work practice and/or included in regular governance meetings and established reporting routes. As such, these no longer need to

be reported as 'mitigations' to the risk. Propose mitigation 1 has now been completed; request move to current mitigations.

- 4.3 Action to agree: **remove 1- 20 current mitigations** detail from future reports and agree Proposed mitigation 1 as completed to **move to mitigations**.
- 4.4 **Risk 2 (Climate Change and Sustainability)** Current mitigation 1 – 4 are fully implemented and embedded as 'Business as Usual'. It is proposed that these can be removed from future reports. proposed mitigation No. 2 – completed. The HSCP has adopted the revised Integrated Impact Assessment designed by South Ayrshire Council. Full roll out and ongoing support progressing.
- 4.5 Action to agree: Agree to removal of Current Mitigation 1 – 4 as business as usual and agree to move Proposed mitigation 2 as completed to **move to mitigations**.
- 4.6 **Risk 12 (Workforce Protection)** This risk has been presented twice with no new or outstanding proposed mitigations. Current mitigations have been embedded as 'Business as Usual' and there are clear policies and evidence of compliance with MAST which is detailed in other regular reports. The efforts of the HSCP to reduce this risk has been achieved. Request agree to close this Risk 12 and remove from future reports.
- 4.7 Action to agree: **close Risk 12**.

Governance

- 4.8 **Risk 3 (Communications and Reputation)** Current mitigations 1 – 6 are now embedded as 'Business as Usual' and request agreement to remove these from future reports. Proposed mitigations No 1 and No 2 are complete and request agreement to move these to mitigations. New Proposed mitigation added.
- 4.9 Action to agree: remove mitigations 1 – 6 from future reports and **move proposed mitigation 1 and 2 to mitigations**.
- 4.10 **Risk 4 (External Factors including contingency planning)** Current mitigations 1 - 7 are now embedded as 'Business as Usual' and request agreement for these to be removed from future reports. Governance arrangements and reporting routes are in place and relationships between partners is positive. Proposed mitigations No1 and No2 are complete.
- 4.11 Action to agree: To remove mitigations 1 – 7 from future reports and move proposed mitigations 1 and 2 to **mitigations**.
- 4.12 **Risk 6 (Governance)** Current mitigations 1 – 6 are considered as 'Business as Usual'. Request these are proposed mitigation are removed from future reports as there are established reporting structures in place. Proposed mitigations 1 and 3 – completed, therefore propose these move to mitigations.

- 4.13 Action to agree: Mitigations 1 – 6, remove from future reports. Proposed mitigations 1 and 3 are completed **move to mitigations.**
- 4.14 **Risk 6 (Governance)** note the significant progress made to No. 5 – Health and Care Staffing Act compliance.
- 4.15 **Risk 11 (Governance)** proposed mitigations No1 – complete. Note the significant progress made with mitigation No3 – an increase from 24% to 72% of the target who have undertaken Scottish Improvement Leaders programme. Action to agree: **move proposed mitigation No1 to mitigations.**

Resources

- 4.16 **Risk 5 (Financial) Risk 5 is a high risk priority risk.** Current mitigations 1 – 9 are completed and embedded as ‘Business as Usual’. Regular fiscal reporting is provided to the IJB for governance and assurance. Request that mitigations 1 – 9 are removed from future reports. **Proposed mitigations** added to reflect the financial calendar. Completed proposed mitigation No. 1 – move to current mitigations as a record of completeness. Note new proposed mitigation No. 2 to reflect the 2025/26 and 2026/27 term.
- 4.17 Action to agreed: Remove mitigations from future reports. Completed proposed mitigation 1 - **move to mitigations.**
- 4.18 **Risk 7 (ICT)** Current mitigations 2 progressing with Digital Strategy. Remove from future reports. Proposed mitigation 1 is complete. Move to current mitigations.
- 4.19 Action to agree: Remove current mitigations from future reports. Move completed **to mitigations.**
- 4.20 **Risk 8 (Population)** Mitigations 5 – 8 are embedded as ‘Business as Usual’. Remove from future reports. Furthermore the implementation of the Ageing Well Strategy (published September 2024) has progressed some of the mitigations further and will be reported against in due course.
- 4.21 Action to agree: Remove current mitigations from future reports
- 4.22 **Risk 10 (Provider Organisations) Risk 10 is a high priority risk.** Current mitigations are now ‘Business as Usual’. Request these are agreed to be taken off future reports. Proposed mitigation 2 and 3 complete, agree to move to current mitigations.
- 4.23 Action to agree: Remove current mitigations from future reports. Move completed **to mitigations.**

5. STRATEGIC CONTEXT

5.1 The identification and management of risk supports effective delivery of services which contribute to the achievement of the Integration Joint Board's Strategic Objectives set out within the Strategic Plan 2021-2031. In particular:

- *We help to build communities where people are safe*
- *We are an ambitious and effective partnership*

6. IMPLICATIONS

6.1 Financial Implications

6.1.1 There are no financial implications from the content of this report.

6.2 Human Resource Implications

6.2.1 There are no human resource implications from this report.

6.3 Legal Implications

6.3.1 There are no legal implications arising from this report.

6.4 Equalities implications

There are no equality implications arising from this report.

6.5 Sustainability implications

6.5.1 N/A

6.6 Clinical/professional assessment

6.6.1 N/A

7. CONSULTATION AND PARTNERSHIP WORKING

7.1 N/A

8. RISK ASSESSMENT

APPENDICES

Appendix 1 – HSCP Strategic Risk Register

REPORT AUTHOR AND PERSON TO CONTACT

Name: Sheila Tyeson

Phone number:

Email address: Sheila.Tyeson@south-ayrshire.gov.uk

02.12.2024