

Meeting of South Ayrshire Health and Social Care Partnership	Performance and Audit Committee
Held on	20th August 2021
Agenda Item:	9
Title:	HSCP Strategic Risk Register
Summary:	
<p>This report presents the Performance and Audit Committee with the HSCP Strategic Risk Register for scrutiny. This document was recently refreshed and agreed by the IJB in May 2021 and will be a regular item on the Performance and Audit Committee agenda.</p>	
Author:	John Wood, Senior Manager HSCP
Recommendations	
<p>It is recommended that the Performance and Audit Committee:</p> <ul style="list-style-type: none"> i. Note the content of the HSCP Strategic Risk Register (Appendix 1) and provide appropriate commentary / scrutiny; ii. Note the update on the current pressures facing the HSCP; and iii. Agree that the Strategic Risk Register becomes a standing item on the Performance and Audit Committee agenda. 	
Route to meeting:	
<p>Strategic Risk Register developed by HSCP officers and agreed by the IJB in May 2021 on the recommendation of the HSCP Risk and Resilience Group.</p>	
Implications:	
Financial	<input type="checkbox"/>
HR	<input type="checkbox"/>
Legal	<input type="checkbox"/>
Equalities	<input type="checkbox"/>
Sustainability	<input type="checkbox"/>
Policy	<input type="checkbox"/>
ICT	<input type="checkbox"/>

HSCP Strategic Risk Register

1. PURPOSE OF REPORT

- 1.1 This report presents the Performance and Audit Committee with the HSCP Strategic Risk Register for scrutiny. This document was recently refreshed and agreed by the IJB in May 2021 and will be a regular item on the Performance and Audit Committee agenda.

2. RECOMMENDATION

2.1 It is recommended that the Integration Joint Board:

- i. Note the content of the HSCP Strategic Risk Register (Appendix 1) and provide appropriate commentary / scrutiny;**
- ii. Note the update on the current pressures facing the HSCP; and**
- iii. Agree that the Strategic Risk Register becomes a standing item on the Performance and Audit Committee agenda.**

3. BACKGROUND INFORMATION

- 3.1 Prior to May 2021 the IJB (and subcommittees) had not considered its Strategic Risk Register for over a year – this was in part due to the stepping down of some governance meetings during the pandemic. Given the vastly changed nature of strategic risk as a result of COVID-19, the HSCP management team have been working to produce a refreshed Strategic Risk Register for the HSCP. This was developed in the early part of 2021 and taken to the IJB for agreement in May.
- 3.2 Although the council and NHS maintain their own approach to risk covering HSCP services, and operational risks are managed at a service level, it is prudent and in keeping with best practice for the HSCP to maintain its own Strategic Risk Register.

4. REPORT

- 4.1. The agreed HSCP Strategic Risk Register is attached at Appendix 1 and has been developed by officers through the HSCP's Risk and Resilience Group with input from a range of colleagues and partners within the NHS and Council. The format follows South Ayrshire Council's template Risk Register.
- 4.2. The content of the Strategic Risk Register brings together highlights and high-level risks from South Ayrshire Council and NHS Ayrshire and Arran with the addition of HSCP-specific strategic risks. The risks identified are as follows:

Theme: Protection

1. Adult and Child Protection
2. Workforce Protection

3. External Factors including Contingency Planning
4. Climate change and Sustainability

Theme: Resources

5. Financial position
6. ICT
7. Premises
8. Workforce Development
9. Provider organisations

Theme: Governance

10. Good governance, Strategic Planning and Business Resilience
11. Population
12. Communication and Reputation
13. Service Quality

- 4.3. At the IJB meeting in May 2021 these high-level risks were agreed; it was further agreed that the Performance and Audit Committee would monitor the document on a regular basis. The Committee are invited to provide any commentary or scrutiny of the risk register and to agree that the document is brought to the Committee at least twice per year.
- 4.4. At future meetings, any changes in the risk scores and mitigations will be presented in a covering report.
- 4.5. In addition and to support the consideration of the Strategic Risk Register, the Committee are invited to note the following updates which will be supplemented by a verbal update at the meeting. Since the agreement to the Risk Register, there has been a high degree of pressure on the hospital and community care system both in South Ayrshire and nationally. This has been borne out in a range of ways including an increase in delayed transfers of care and evidence of increased pressure on third and independent sector providers of care with a shortage of staff being reported as a key challenge on top of increased demand.
- 4.6. With this in mind, risks **8 (Workforce development)** and **9 (Provider organisations)** as presented on the Strategic Risk Register do not reflect the current operational pressures on the system. Current mitigating actions are in place to address these challenges.
- 4.7. Furthermore, in relation to risk **7 (Premises)** the HSCP's premises and accommodation situation is constantly evolving and having to respond to COVID-19 restrictions and align with programmes of work being led by our partner organisations. The HSCP management team are actively scoping out what our future accommodation requirements may be and there is a recognition of some areas of concern e.g. the emerging acute need for more, better developed GP premises. This is another element of the Strategic Risk Register that officers would like to bring to the attention of the Committee.

5. STRATEGIC CONTEXT

5.1 Agreement to this report will support the achievement of the IJB's Strategic Objectives. In particular:

- *We help to build communities where people are safe*
- *We are an ambitious and effective partnership*

6. IMPLICATIONS

6.1 Financial Implications

6.1.1 There are no direct financial implications of agreeing this report.

6.2 Human Resource Implications

6.2.1 There are no direct HR implications of agreeing this report.

6.3 Legal Implications

6.3.1 There are no direct legal implications of agreeing this report.

6.4 Equalities implications

6.4.1 There are no equalities implications of this report.

6.5 Sustainability implications

6.5.1 There are no direct sustainability implications of agreeing this report.

6.6 Clinical/professional assessment

6.6.1 This report does not require clinical or professional considerations to be reflected to the IJB.

7. CONSULTATION AND PARTNERSHIP WORKING

7.1 This report and Appendix 1 were developed in collaboration with partners and all relevant parts of the HSCP.

8. RISK ASSESSMENT

8.1. This report pertains directly to risk.

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