

<b>Meeting of South Ayrshire Health and Social Care Partnership</b>	<b>Integration Joint Board</b>	
<b>Held on</b>	<b>17<sup>th</sup> February 2021</b>	
<b>Agenda Item:</b>	<b>6</b>	
<b>Title:</b>	<b>Budget Monitoring Period 9 – 31<sup>st</sup> December 2020</b>	
<b>Summary:</b>		
The purpose of this report is to advise the Integration Joint Board of the projected outturn for the year as estimated at Period 9, the 31 <sup>st</sup> of December 2020.		
<b>Author:</b>	<b>Lisa Duncan, Chief Finance Officer</b>	
<b>Recommendations:</b>		
<b>It is recommended that the Integration Joint Board</b>		
<ul style="list-style-type: none"> <li>(i) Note the projected outturn and financial assumptions;</li> <li>(ii) Approve the request to earmark resources to be carried forward to 2021/22</li> <li>(iii) Approve the budget virements;</li> <li>(iv) Note the projected outturn in relation to Lead Partnership services</li> <li>(v) Note the current position within the Acute Services budget</li> <li>(vi) Note the progress made towards savings</li> <li>(vii) Note the latest Covid-19 response financial implications</li> </ul>		
<b>Route to meeting:</b>		
This report has been reviewed internally by relevant officer groups as well as the Three Way meeting.		
<b>Directions:</b>		<b>Implications:</b>
1. No Directions Required	<input type="checkbox"/>	Financial <input type="checkbox"/>
2. Directions to NHS Ayrshire & Arran	<input type="checkbox"/>	HR <input type="checkbox"/>
3. Directions to South Ayrshire Council	<input type="checkbox"/>	Legal <input type="checkbox"/>
4. Directions to both SAC & NHS	<input type="checkbox"/>	Equalities <input type="checkbox"/>
		Sustainability <input type="checkbox"/>
		Policy <input type="checkbox"/>
		ICT <input type="checkbox"/>

## BUDGET MONITORING PERIOD 9 – 31<sup>ST</sup> DECEMBER 2020

### 1. PURPOSE OF REPORT

- 1.1 The purpose of this report is to advise the IJB of the projected financial outturn for the financial year as estimated at 31st of December 2020 and the underlying financial assumptions included in the projected outturn. The report will also provide an update on the current financial implications in responding to the Covid-19 pandemic, progress against savings approved within the IJB budget for 2020-21, movements on the annual approved budget for 2020-21 and budget virements seeking approval. The report will also provide a summary of the projected outturn on services managed by Lead Partnership arrangements and information on Acute Services budget.

### 2. RECOMMENDATION

#### **2.1 It is recommended that the Integration Joint Board**

- (i) Note the projected outturn and financial assumptions;**
- (ii) Approve the request to earmark resources to be carried forward to 2021/22**
- (iii) Approve the budget virements;**
- (iv) Note the projected outturn in relation to Lead Partnership services**
- (v) Note the current position within the Acute Services budget**
- (vi) Note the progress made towards savings**
- (vii) Note the latest Covid-19 response financial implications**

### 3. BACKGROUND INFORMATION

- 3.1 The projected year end position for the IJB is an underspend of £2.755m, a favourable movement of £0.141m, excluding additional costs related to Covid pandemic response, these are included in the local mobilisation return. The underspend consists of projected outturns as shown below:

- Social care services delivered by South Ayrshire Council are projected to be underspend by £1.905m, this includes £0.059m costs relating to Covid and £0.587m requested for earmarking to 2021-22 as detailed in Section 4.6;
- Managed services delivered by NHS Ayrshire and Arran are projected to be underspent by £0.243m and
- Lead Partnership arrangements are projecting an underspend of £0.608m, this includes our share of East and North Health and Social Care Partnerships projected underspends combined with their contribution to our lead partnership services projected overspend.

- 3.2 Favourable movement from period 6 of £0.141m mainly due to:-

- Delay in filling home care posts within Reablement - £0.420m

- Increase in residential care home income, following service users transferred from private to local authority provision - £0.075m
- Reduction of 2 Children's out with authority placements - £0.108m
- Less than projected expenditure for Children with Additional Support needs care packages £0.114m

### 3.3 Offset with overspends in:-

- Care at Home projected £0.200m overspend, increase in purchased hours to facilitate delayed discharges
- Biggart Hospital £0.70m increase spend to replace all mattresses

3.4 The latest local mobilisation financial plan submitted to the Scottish Government on the 15th of January projects a total cost to the end of the year of £8.873m, this includes £0.405m of savings delays and offsets in service delivery reductions of £0.346, net effect a cost of £0.059m. The impact of the savings delays have been built into the core projections on the basis that non-recurring underspends can offset the savings this year. A detailed explanation of savings delays and offset cost reductions along with additional costs associated with Covid was provided to SG on the 15th of January, this will inform the next funding allocation. Full details of the local mobilisation finance return are detailed in the Covid-19 Financial Implications report and summarised in Section 4.10.

## 4. REPORT

The integrated budget for 2020-21 is 230.241m, with a projected underspend of £2.755m (1.2%). The services delivered through South Ayrshire Council are expected to be £1.905m underspent and those delivered through NHS Ayrshire and Arran are expected to be £0.243m underspent and services provided through lead partnership arrangements expected to be £0.608m underspent.

Appendix A provides the financial position for the partnership and highlights the variances in service expenditure. Appendix B provides detail per partner.

The following sections will provide an overview of the financial position based on the current commitments and assumptions for the projected expenditure. An explanation of budget movements in year along with budget virements seeking approval. Progress on savings to be achieved, and a summary of Covid-19 financial impact and the associated financial risks.

### 4.1 **Community Health and Care – projected underspend of £0.787m**

Against a full year budget of £62.105m, there is an expected underspend of £0.787m (1.27%). Favourable movement from period 6 of £0.198m. The main reasons for the underspend are:

**Older People Residential Care Home Placements** are projected to underspend by £0.415m, due to underoccupancy. The current budget can

afford 878 placements, currently we have 814 placements filled. Appendix E charts the trend in care home placements since Jan 2020.

**Allied Health Professionals** – projected underspend of £0.373m, due to staff vacancies for part of year. Recruitment of all vacancies expected to be completed by end of the financial year.

**Reablement Care at Home Service** is projected to underspend by £0.869m, investment of £0.415m was approved in the budget and further investment of £0.590 from care home budget to increase capacity within the reablement team during the year. This investment is crucial to supporting delayed discharges and optimising people's independence. Taking forward the recruitment at the outset of the pandemic was paused as care at home services including reablement staff focused on providing care in the community. The new Reablement Lead came into post during October and posts went out to advert in December with interviews expected early February and full team in place by March 2021.

**Older People Day Care Services** are expected to underspend by £0.246m, services have been paused due to the social distancing restrictions. Service users are being supported by garden visits and one to one contact from support workers providing meals that they would have received at day care.

**Intermediate Care and Rehab** – projected underspend of £0.182m, due to slippage in filling staff vacancies as a result of holding vacancies last year.

The above underspends in Community Care have been offset with overspends in the following services:-

**Biggart Hospital** – projected overspend of £0.636m, this is taking account of £0.545m funded from local mobilisation financial return to pay for an additional 12 beds remaining open to support the Covid response. The overspend represents:

- (1) a recurring overspend related to additional bank staff required £0.500m, to support the current staffing establishment in meeting the enhanced nursing care needs of patients. This is reflected in the current staffing establishment of 15.26FTE greater than the budgeted establishment and
- (2) £0.140m overspend in non-pay costs related to the purchase of replacement beds and mattresses as originals were in state of disrepair and aged.

Following the appointment of Service Leads within Reablement and Maintenance Home Care, the Reablement Redesign and Service Review of Home Care have been established with a Programme Board in place to oversee the model of delivery for the future with the aim of reducing delayed discharges and optimising patient care. Cost and efficiencies factored into the budget setting process for 2021-22

**Community Nursing** – projected overspend of £0.252m adults packages of care now ceased £0.055m incurred in staff costs this year prior to staff being redeployed. With community nursing £0.201m overspend on staffing to cover for 3.2 WTE maternity leaves.

**Care at Home Purchased** – projected overspend of £0.200m, hours purchased now exceed the budget and additional covid funding allocation. Increase in purchasing care at home is essential to maintain service users at home safely and reduce delayed discharges.

**Income** – a shortfall of £0.113m in income is expected, due to implementation of day care charging that has been paused.

#### **4.2 Children and Justice Services – projected underspend of £0.898m**

Against a full year budget of £24.084m, there is an expected underspend of £0.898m(3.73%), favourable movement of £0.465m the main reasons for the projected underspend are:

**Staff Travel and Supplies and Services** – projected underspend of £0.218m, mainly due to staff working from home and meetings with families carried out virtually where possible.

**Residential Outwith Authority Placements** – projected underspend of £0.240m, based on the current 64 placements, a reduction of 2 from period 6. No provision has been made for additional placements and assumes that the expected end dates are achieved. Appendix E shows the trend in this year placements to date and projected to end of year.

**Young People’s Transition Services** – projected underspend of £0.049m mainly due to less care leavers than budgeted.

**Children with Disabilities care packages** – projected underspend of £0.150m following a review of actual spend along with 2 transitions to adult services.

**Health Visiting** – projected underspend of £0.152m, partially due to vacancies and less than expected spend in travel costs due to reduced visits to date.

The Scottish Government offered a grant for “Winter Plan for Social Protection - funding for vulnerable children and young people” in December, with a total of £0.509m available to 31<sup>st</sup> of March 2021, to provide support for residential care costs, additional expenditure associated with Children’s Hearing workload and a fund to support vulnerable children.

Additional grant funding of £0.212m has been awarded for costs incurred to the 31<sup>st</sup> of March 2021 to support “Mental Health and Emotional Wellbeing Services for Children and Young People and their families impacted by the

Covid-19 pandemic". Working alongside educational services this fund will be used to provide the necessary support to meet those needs.

#### **4.3 Mental Health Services – projected overspend of £0.099m**

Against a full year budget of £28.470m, there is a projected overspend of £0.099m (0.35%), adverse movement of £0.029m, the main reasons for the overspend are:-

**Learning Disabilities Community Care Packages** (including Individual Service Funds and Direct Payments) – projected overspend of £0.231m, budget of £15.057m with a projected spend of £15.288m based on current packages of care and 1 new package to commence in year. Projection takes account of reductions in day care type services, paused due to Covid £0.131m, and assumes that £0.325m of saving approved in 2020-21 budget will not be achieved.

**Learning Disability Elba Gardens** – projected overspend of £0.272m based on the cost of service to end of year £0.103m and £0.169m of efficiencies not expected to be achieved. The development at Elba Gardens has identified mainly younger adults who are current living with parents to move into the supported accommodation. Currently these younger adults do not have a high cost care package, therefore no immediate savings realised. However, this model of care is avoiding cost impact of providing higher levels of care in the future, and enabling the younger adults to live independently.

*The above overspends are offset with underspends in the following services:*

**Learning Disabilities Residential Care Packages including respite** - projecting an underspend of £0.090m, due to a reduction in respite availability in first half of year. Hansel have now increased capacity by bringing additional caravans on site.

**Resource Transfer Income** – projected income due £0.131m from North Health and Social Care Partnership for 3 Arrol Park discharges. Two discharges were transferred to Girvan Core and Cluster last year and included in community care package projection and the other service users was transferred to a residential placement outwith South Ayrshire.

Progress has been made in transferring the remaining service users from Lochranza, this has resulted in additional beds being recommissioned on the 3rd floor of South Lodge to support 4 service users, one to be funded from East Ayrshire Health and Social Care Partnership and resource transfer from North Ayrshire Health and Social Care Partnership to fund the remaining three. The resource transfer will meet the additional costs of support.

**Mental Health Community Care Packages** (including Individual Service Funds and Direct Payments) - projecting an underspend of £0.136m, budget of £1.733m projection based on cost of current care packages £1.537m, and £0.060m for future demand to the end of the year.

**Health Learning Disability Team** – projected underspend of £0.088m mainly due to vacant posts, underspend compares with prior full year underspend of £0.133m.

**Mental Health Community Team** – projected underspend of £0.015m, due to slippage in vacant posts.

**4.4 Support Services projected underspend of £0.934m**

Within the Council there is a projected underspend of £0.662m, mainly due to an over recovery on payroll management target, due to increase in staff turnover, all essential vacant posts are being recruited.

Within Health there is a projected underspend of £0.272m, mainly due to staff vacancies within business support.

**4.5 Hosted Services projected overspend of £0.047m**

The overspend relates to increase in purchase of supplies within the Continence team and 0.5WTE additional to establishment within this team.

**4.6 Request for Earmarking of £0.587m**

A request is being made for approval to earmark funds to be carried forward to 2021-22 for specific purposes where they have not been able to be fully committed this year. The following table provides the amounts requested for earmarking.

<b>Budget</b>	<b>Earmark Request (£'000's)</b>	<b>Earmark Reason</b>
Training SVG	£127	Delays in SVQ training earmark to continue roll out of training next year.
Staffing	£129	Underspends in CM2000 and Carefirst projects due to slippage in vacancies. Funding to extend temp posts to complete both projects.
Care at Home Mobile Attendants	£56	Budget investment made in 2020-21 slippage to recruit for test of change project, funding to extend the project by 3 months to June 2021
Alcohol and Drugs Partnership	£75	Underspend to be carried forward to meet next years full year project commitments
Adoption Orders	£200	Due to court closures, there is a

Delayed		backlog of adoption orders to be presented at court. Once courts resume normal activities funding will be required to present the outstanding orders.
<b>TOTAL</b>	<b>£587</b>	

#### 4.7 Lead Partnerships projected underspend of £0.608m

The table below highlights each lead partners projected outturn for 2020-21 at end of Dec 2020 and the NRAC share of under and overspends.

Host IJB	Projected Underspend/ (Overspend) Hosted Services 20/21 £'000	Projected East Ayrshire NRAC Share £'000	Projected North Ayrshire NRAC Share £'000	Projected South Ayrshire NRAC Share £'000	NRAC Basis
East Ayrshire	977	342	352	283	Prescribing - East 35%, North 36%, South 29%
North Ayrshire	946	307	348	291	Mental Health - East 32.4%, North 36.8%, South 30.8%
South Ayrshire	(47)	(16)	(17)	(15)	Community - East 32.7%, North 36.7%, South 30.6%
<b>TOTAL</b>	<b>2,278</b>	<b>769</b>	<b>828</b>	<b>681</b>	

**East Ayrshire Health and Social Care Partnership** lead on Primary Care services, at period 9 there is a projected underspend of £0.977m, of which £0.283m is our NRAC share. The main underspends are due to:

- £0.830m on the Primary Care Lead Partnership budget. This includes reduced projected costs on Dental Services where there have been a number of services cancelled for the year-to-date. These services are expected to restart in the final quarter of the 2020 calendar year, with an anticipated increase in staffing costs going forward. In addition, work has been undertaken to update cross charging of Ayrshire Urgent Care Services (AUCS) costs related to the Covid-19 pandemic. It is anticipated that the current level of Covid-related GP activity will continue until the end of March at this stage. Increased staff turnover savings are projected for Ayrshire Urgent Care Service, with posts to be recruited to in the final quarter of the financial year.
- It is anticipated at that the Primary Care Improvement Fund will outturn on budget. The Scottish Government has provided confirmation of the sums available for the three Partnerships for 2020/21 with spending plans and priorities being taken forward to meet agreed outcomes. .
- The Prison and Police Healthcare projected underspend £0.140m, largely due to net staffing savings, the projected outturn also takes



account of drugs costs previously charged to the Prison have correctly now been charged against Covid-19.

**North Ayrshire Health and Social Care Partnership** lead on Mental Health Services, at period 9 there is a projected underspend of £0.946m, of which £0.291m is our NRAC share. The main underspends are due to:

- Specialist Mental Health Services underspends in pharmacy due to lower substitute prescribing costs and an over-achievement of the vacancy savings target within CAHMS, mental health admin, psychiatry, psychology.
- Above partially offset with projected overspends in adult inpatient costs due to the delay in closing the Lochranza ward and supplementary staffing. UnPACs due to new admissions, and Learning Disability Services.

**South Ayrshire Health and Social Care Partnership** lead on the community equipment store, family nurse partnership and the continence team. Included in the partnership is a projected overspend of £0.047m within the Continence team due to additional staffing and spend on purchases based on current spend profile. Our share of this is £0.015m with the balance £0.033m received from the other Partnerships, reflected in the Recharges to other Partnerships line in the IJB financial report on Appendix A.

#### **4.8 Acute Hospitals**

The Acute hospital budget of £25.128m, or “Set Aside Budget” as it is referred to in the Integration Scheme, represents the direct cost of six specialities and is focussed on unscheduled activity. The six areas of are Accident and Emergency, General Medicine, Geriatric Medicine, Respiratory Medicine, Rehabilitation Medicine and certain GP non-elective activity. The Integration Scheme makes provision for the Set Aside budget to be managed in year by the Health Board with any recurring over and underspends being considered as part of the annual budget setting process.

The 2020-21 annual budget for Acute Services is £356m, which includes areas covered in the set aside budget, is underspent by £5.7m after 9 months following allocation of the Covid-19 funds received from Scottish Government. The year to date underspend of £5.7m is a result of:

- £9.0 million of “offset savings”. These are the underspends resulting from low outpatient and elective activity in the year to date.
- Offset by £3.3 million of unachieved savings.

#### **4.9 Budget Movements**

The budget approved on the 25<sup>th</sup> of March 20, appendix C highlights the movement in the overall budget position from initial approval. Section 8.2.5 of the Integration Scheme states that *“Either party may increase its in year payment to the Integration Board. Neither Party may reduce the payment in-year to the Integration Board nor Services managed on a Lead Partnership basis without the express consent of the Integration Board”*.

Explanation on main budget movements:

1. Emergency Response Team budget transfer of £0.319m, following approval by Council, the delivery of ERT service will sit under the Community Care and Health service.
2. Alcohol and Drug Partnership funds earmarked in 2019-20 transferred into budget for use in 2020-21
3. Children and Families mediation co-ordinator budget transferred on a temporary basis from Homeless Strategy Funding.
4. Increase in funding of £1.090m from Scottish Government to meet initial Covid-19 costs
5. Increase of £0.273m from the Scottish Government to fund the incremental increase in the hourly contracted providers uplift to 3.3% from 3% approved in 2020-21 Budget.
6. Increases in Prescribing and GMS are not actual increases in budget in 2020-21, this reflects timing of budget approval by IJB and subsequent Health Board Budget increase in 2019-20 after approval.
7. Paid as if at Work budget allocation from approved budget 2020-21.
8. Annual Insurance allocation from Council Of £0.140m
9. Parkinson Nurse budget and responsibility transferred from North Health and Social Care Partnership £0.109m
10. Locharanza and Arrol Park resource transfer income transferred from North Health and Social Care Partnership £0.305m
11. Additional Covid funding allocated from Scottish Government £2.794m based on Qtr1 Local Financial Mobilisation submissions
12. Scottish Government Grant Funding received for Children and Families Mental Health and Wellbeing £0.212m
13. Scottish Government Covid Winter Plan funding for Children and Families £0.509m
14. Additional Covid Funding allocation £4.992m
15. Reduction in prescribing budget £1.030m
16. Additional funding for school nurses and district nurses £0.098m
17. Winter funding to support delayed discharges £0.100m
18. Transfer of Admin staff to East HSCP £0.100m

#### **4.10 Virements for Approval**

In year budget virements are made to transfer budgets either to another service or budget holder following organisational change or to tidy up budgets to reflect actual spend patterns.

1. Temporary virement of £0.039m from CM2000 maintenance costs for external providers to CM2000 Employee costs. There is a delay in

- implementing CM2000 with the external providers, and temporary posts have been extended to further imbed CM2000 within care at home services including the reablement team
2. Permanent virement of £0.415m reablement investment approved in budget 2020-21 from Third Party Payments to Employee Costs to align budget to recruitment in home care staff for reablement team.
  3. Permanent virement of £0.106m from vacancy management held in employee costs to management and admin efficiency target held in admin budget. Vacancy management target has been over achieved partially due to admin vacancies, this virement is to tidy up the budget. The full admin review will identify specific posts and remove from the structure permanently in 2021-22.

#### 4.11 Savings Targets

Savings targets of £3.192m were approved in the 2020-21 budget and expected to be achieved this financial year to date. Appendix D details savings approved and progress made this year along with £0.624m outstanding efficiencies from prior year that still require action.

To date £3.055m has been achieved in progressing this years approved savings. Due to work undertaken last year with full benefits realised in 2020-21, including reduction in outwith authority placements, discharge of adult high cost care packages and the implementation of CM2000 within the internal home care service.

Due the Covid-19 pandemic a number of savings plans have been paused, this has resulted in £0.405m allocated to the local mobilisation financial return, the current projection assumes funding will not be provided to meet the shortfall and cost reductions in other areas will offset the shortfall.

The table below, summarises the savings by BRAG status, defined as B – Saving is complete, R – Not on Track requires action, A – Minor issues mitigation required, G – savings on track for completion.

BRAG Status	Total Approved Efficiencies	Achieved 20-21	Allocated to Covid	Projected to be achieved	Balance Remaining
B	2.400	2.221	0.009	0.000	0.169
G	0.508	0.437	0.000	0.071	0.000
A	0.792	0.396	0.396	0.000	0.000
R	0.116	0.000	0.000	0.000	0.116
<b>Total</b>	<b>3.815</b>	<b>3.055</b>	<b>0.405</b>	<b>0.071</b>	<b>0.285</b>

The only saving within the red status requiring action is the review and implementation of a revised charging policy outstanding from prior year. Due to the current pandemic consultation is not possible further work will be undertaken to review the current policy and determine an action plan to progress with a review of the current policy.

#### 4.12 Covid Financial Plan

The latest submission of Covid related expenditure to the Scottish Government in the local mobilisation financial return estimates costs of £8.873m, including delays in savings and offsetting cost reductions summarised in the table below.

At the end of January, we have received a total of £6.829m. With remaining balance of £2.044m outstanding. The Scottish Government have assured CFO's that further funding will be forthcoming following review of the latest submissions and due diligence checks.

Areas of Spend	NHS £'000	SAC £'000	Total Expected Spend £'000
Delayed Discharges - Care home Beds		372	372
Delayed Discharges - Care at home hours		267	267
Surge Capacity - Additional Biggart Beds	545		545
Community Equipment Store	377		377
Personal Protective Equipment (PPE)	21	931	952
Payments to Providers		3,401	3,401
Staffing Costs	525	1,087	1,612
Estates and Facilities Costs	117		117
Community Care		203	203
Children and Families		44	44
Offsetting Cost Reductions		(341)	(341)
Delay in Achieving Savings		397	397
Loss of Income		189	189
Other - small items	26	56	81
Remobilisation - Increase in care at home hours		656	656
<b>TOTAL MOBILISATION PLAN</b>	<b>1,611</b>	<b>7,262</b>	<b>8,873</b>

#### 4.13 Financial Risks

The financial risks within the IJB's delivery of health and social care services at present are:

- Anticipated funds from the Scottish Government allocated in local mobilisation financial returns does not meet all the costs associated in responding to the pandemic;
- Risk that any shortfall cannot be recovered in the financial year, and the IJB overspend and current debt repayment increases, we are already assuming payment of £1.092m this year within the budget;
- If no further funding becomes available to meet the additional Covid expenditure, the current underspend of £2.755m will meet the projected shortfall in Covid expenditure of £2.044m;
- However utilising our current underspend, will impact on next year's budget and ability to create reserves to manage financial uncertainty next year and in future years;

- Uncertainty over funding requirements for 2021-22, particularly in relation to commissioned services from the private sector and the increase in PPE costs

However, there is no recommendation at present to implement a Financial Recovery Plan based on:-

- SG have provided assurance that additional costs will be funded following a due diligence exercise and transparency on unachieved savings presented to SG on January the 15th;
- The most significant cost in the Covid plan is PPE and Provider sustainability payments, these costs are being reimbursed in full.

#### **4.14 Summary**

The current financial position is based on the assumptions contained within the report as at period 9, the projected underspend of £2.755m is mainly due to slippage in staff vacancies, all essential posts are being advertised, delays in investment proposals and reduction in travel, supplies costs and underoccupancy in care homes.

The budget process for 2021-22 has commenced and will revisit unachievable savings this year, and look at effect of new ways of working developed this year to build on savings proposals and identify investments for transformation.

### **5. STRATEGIC CONTEXT**

- 5.1 The IJB is expected to operate within the resources available. This report contributes to the IJB Strategic Objective to “operate sound strategic and operational management systems and processes.”

### **6. IMPLICATIONS**

#### **6.1 Financial Implications**

- 6.1.1 The financial implications for the IJB Integrated Budget are outlined within the report.

#### **6.2 Human Resource Implications**

- 6.2.1 There are no human resource implications arising from this report.

#### **6.3 Legal Implications**

- 6.3.1 There are no legal implications arising from this report.

#### **6.4 Equalities implications**

- 6.4.1 There are no immediate equality implications arising from the report.

#### **6.5 Sustainability implications**

- 6.5.1 There are no environmental sustainability issues arising from the approval of this report by the IJB.

## **6.6 Clinical/professional assessment**

6.6.1 There are no clinical/professional implications arising from the consideration of this report.

## **7. CONSULTATION AND PARTNERSHIP WORKING**

7.1 This report has been prepared in conjunction with colleagues from South Ayrshire Council and NHS Ayrshire and Arran

## **8. RISK ASSESSMENT**

8.1. As the report sets, there is risk associated in the Covid-19 local mobilisation financial returns not being fully funded.

8.2. The IJB Risk Management Strategy categorises the level of financial risk as high.

### **REPORT AUTHOR AND PERSON TO CONTACT**

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### **BACKGROUND PAPERS**

*Covid-19 Financial Implications*

11.02.2021

## APPENDIX A

South Ayrshire Health & Social Care Partnership Financial Report as at 31st December 2020					
Table 1	2020/21				
	Integrated				
	Budget	Projected Outturn	Variance (Over)/ Underspend	Prior Period Variance (Over)/ Underspend	Movement from Prior Period Favourable/ (Adverse)
	£'000	£'000	£'000	£'000	£'000
Older People	42,799	41,575	1,223	950	273
Physical Disabilities	3,688	3,756	(68)	(70)	2
Biggart Hospital	4,342	4,978	(636)	(579)	(57)
Girvan Hospital	1,389	1,425	(36)	(17)	(19)
Community Nursing	2,829	3,080	(252)	(243)	(9)
Intermediate Care and Rehabilitation	1,584	1,402	182	186	(4)
AHPs	5,474	5,101	373	362	11
<b>Total Community Care &amp; Health</b>	<b>62,105</b>	<b>61,317</b>	<b>787</b>	<b>589</b>	<b>198</b>
C&F Social Work Services	21,375	20,648	728	295	433
Criminal Justice	(4)	(22)	18	6	12
Health Visiting	2,712	2,560	152	132	20
<b>Total Children and Justice Services</b>	<b>24,084</b>	<b>23,186</b>	<b>898</b>	<b>433</b>	<b>465</b>
Learning Disabilities	20,146	20,524	(378)	(252)	(126)
Mental Health Community Teams	6,138	5,950	188	139	49
Addictions	2,185	2,095	90	43	47
<b>Total Mental Health Services</b>	<b>28,470</b>	<b>28,569</b>	<b>(99)</b>	<b>(70)</b>	<b>(29)</b>
Directorate	3,574	3,118	457	305	152
Other Services	1,901	1,736	165	48	117
Payroll management target	(107)	(419)	312	458	(146)
<b>Total Support Services</b>	<b>5,369</b>	<b>4,435</b>	<b>934</b>	<b>811</b>	<b>123</b>
<b>Integrated Care Fund/ Delayed Discharge</b>	<b>3,194</b>	<b>3,036</b>	<b>159</b>	<b>63</b>	<b>96</b>
<b>Scheme of Assistance</b>	<b>786</b>	<b>786</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Inter Agency Payments</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>(2)</b>
Prescribing	23,278	23,278	0	0	0
General Medical Services	16,552	16,449	103	103	(0)
<b>Total Primary Care</b>	<b>39,830</b>	<b>39,727</b>	<b>103</b>	<b>103</b>	<b>0</b>
Community Store	495	493	2	2	(0)
TEC	-	(1)	1	(2)	3
Family Nurse Partnership	1,146	1,146	0	0	0
Continence Team	438	488	(51)	(42)	(9)
<b>Total Hosted Services</b>	<b>2,079</b>	<b>2,127</b>	<b>(47)</b>	<b>(42)</b>	<b>(5)</b>
Debt Repayment	1,092	1,092	0	0	0
Request for Earmarking	0	587	(587)	0	(587)
<b>PARTNERSHIP TOTAL</b>	<b>167,010</b>	<b>164,862</b>	<b>2,147</b>	<b>1,889</b>	<b>258</b>
Recharges from other Partnerships	39,547	38,972	575	696	(121)
Recharges to other Partnerships	(1,443)	(1,476)	33	29	4
Acute Hospitals	25,128	25,128	0	0	0
<b>IJB Core Budget Total</b>	<b>230,241</b>	<b>227,486</b>	<b>2,755</b>	<b>2,614</b>	<b>141</b>

## APPENDIX B

### South Ayrshire Health & Social Care Partnership Financial Report as at 31st December 2020

Table 1	2020/21			2020/21			2020/21				
	Council			NHS			Integrated				
	Budget	Projected Outturn	Variance (Over)/ Underspend	Budget	Projected Outturn	Variance (Over)/ Underspend	Budget	Projected Outturn	Variance (Over)/ Underspend	Prior Period Variance (Over)/ Underspend	Movement from Prior Period Favourable/ (Adverse)
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
Older People	42,799	41,575	1,223	0	0	0	42,799	41,575	1,223	950	273
Physical Disabilities	3,688	3,756	(68)	0	0	0	3,688	3,756	(68)	(70)	2
Biggart Hospital	0	0	0	4,342	4,978	(636)	4,342	4,978	(636)	(579)	(57)
Girvan Hospital	0	0	0	1,389	1,425	(36)	1,389	1,425	(36)	(17)	(19)
Community Nursing	0	0	0	2,829	3,080	(252)	2,829	3,080	(252)	(243)	(9)
Intermediate Care and Rehabilitation	0	0	0	1,584	1,402	182	1,584	1,402	182	186	(4)
AHPs	0	0	0	5,474	5,101	373	5,474	5,101	373	362	11
<b>Total Community Care &amp; Health</b>	<b>46,487</b>	<b>45,332</b>	<b>1,155</b>	<b>15,617</b>	<b>15,986</b>	<b>(368)</b>	<b>62,105</b>	<b>61,317</b>	<b>787</b>	<b>589</b>	<b>198</b>
C&F Social Work Services	21,375	20,648	728	0	0	0	21,375	20,648	728	295	433
Criminal Justice	(4)	(22)	18	0	0	0	(4)	(22)	18	6	12
Health Visiting	0	0	0	2,712	2,560	152	2,712	2,560	152	132	20
<b>Total Children and Justice Services</b>	<b>21,372</b>	<b>20,626</b>	<b>746</b>	<b>2,712</b>	<b>2,560</b>	<b>152</b>	<b>24,084</b>	<b>23,186</b>	<b>898</b>	<b>433</b>	<b>465</b>
Learning Disabilities	19,676	20,141	(466)	471	383	88	20,146	20,524	(378)	(252)	(126)
Mental Health Community Teams	3,620	3,447	173	2,518	2,503	15	6,138	5,950	188	139	49
Addictions	1,093	1,018	75	1,092	1,077	15	2,185	2,095	90	43	47
<b>Total Mental Health Services</b>	<b>24,388</b>	<b>24,606</b>	<b>(218)</b>	<b>4081</b>	<b>3963</b>	<b>119</b>	<b>28,470</b>	<b>28,569</b>	<b>(99)</b>	<b>(70)</b>	<b>(29)</b>
Directorate	1,724	1,539	185	1,851	1,579	272	3,574	3,118	457	305	152
Other Services	1,901	1,736	165	0	0	0	1,901	1,736	165	48	117
Payroll management target	(107)	(419)	312	0	0	0	(107)	(419)	312	458	(146)
<b>Total Support Services</b>	<b>3,518</b>	<b>2,856</b>	<b>662</b>	<b>1,851</b>	<b>1,579</b>	<b>272</b>	<b>5,369</b>	<b>4,435</b>	<b>934</b>	<b>811</b>	<b>123</b>
Integrated Care Fund/ Delayed Discharge	1,148	1,002	146	2,046	2,033	13	3,194	3,036	159	63	96
Scheme of Assistance	786	786	0	0	0	0	786	786	0	0	0
Inter Agency Payments	(28,418)	(28,418)	0	28,418	28,418	0	0	0	0	2	(2)
Prescribing	0	0	0	23,278	23,278	0	23,278	23,278	0	0	0
General Medical Services	0	0	0	16,552	16,449	103	16,552	16,449	103	103	(0)
<b>Total Primary Care</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>39,830</b>	<b>39,727</b>	<b>102,998</b>	<b>39,830</b>	<b>39,727</b>	<b>103</b>	<b>103</b>	<b>0</b>
Community Store	0	0	0	495	493	2	495	493	2	2	(0)
TEC	0	0	0	0	(1)	1	-	(1)	1	(2)	3
Family Nurse Partnership	0	0	0	1,146	1,146	0	1,146	1,146	0	0	0
Continence Team	0	0	0	438	488	(51)	438	488	(51)	(42)	(9)
<b>Total Hosted Services</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,079</b>	<b>2,127</b>	<b>(47)</b>	<b>2,079</b>	<b>2,127</b>	<b>(47)</b>	<b>(42)</b>	<b>(5)</b>
Debt Repayment	1,092	1,092	0	0	0	0	1,092	1,092	0	0	0
Request for Earmarking		587	(587)	0	0	0	0	587	(587)	0	(587)
<b>PARTNERSHIP TOTAL</b>	<b>70,374</b>	<b>68,469</b>	<b>1,905</b>	<b>96,636</b>	<b>96,393</b>	<b>243</b>	<b>167,010</b>	<b>164,862</b>	<b>2,147</b>	<b>1,889</b>	<b>258</b>
Recharges from other Partnerships				39,547	38,972	575	39,547	38,972	575	696	(121)
Recharges to other Partnerships				(1,443)	(1,476)	33	(1,443)	(1,476)	33	29	4
Acute Hospitals				25,128	25,128	0	25,128	25,128	0	0	0
<b>IJB Core Budget Total</b>	<b>70,374</b>	<b>68,469</b>	<b>1,905</b>	<b>159,868</b>	<b>159,017</b>	<b>850</b>	<b>230,241</b>	<b>227,486</b>	<b>2,755</b>	<b>2,614</b>	<b>141</b>



## **APPENDIX C BUDGET MOVEMENTS**

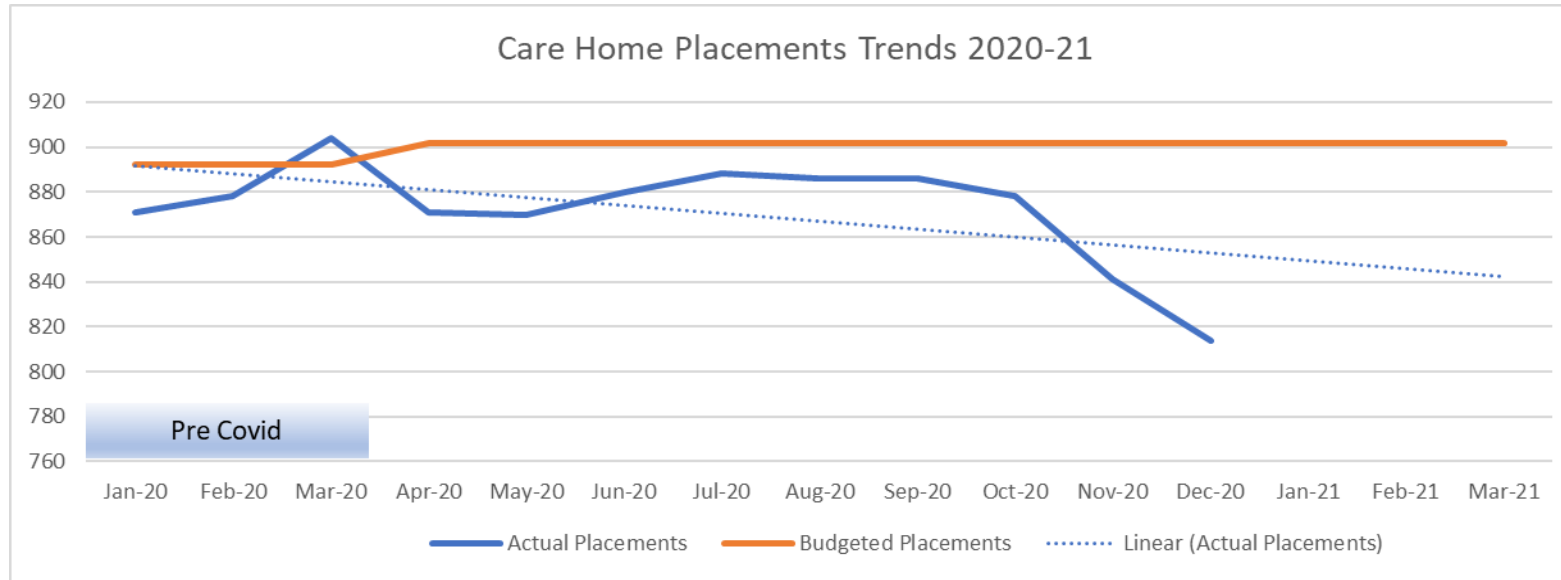
<b>Council</b>	<b>Permanent or Temporary</b>	<b>£'000</b>
<b>Approved Budget</b>		<b>77,342</b>
Change to Aids and adaptations budget	T	13
Fuel adj from Place budget	T	4
Interdirectorate adjs	T	(59)
Justice grant income recoding to HSCP	T	(2,000)
ERT Budget transfer	P	319
ADP Funds Transferred in from 19/20 Earmarking	P	170
C&F Mediation co-ord funding from HSF	T	30
Fleet overhead adjs	T	(7)
Enhanced leave adj	T	(1)
Insurance allocation	T	140
Interagency adjustment ICF	T	(20)
<b>Total Allocated to COVID Mobilisation Plan</b>	T	<b>(5,557)</b>
<b>Budget as at Period 9</b>		<b>70,374</b>

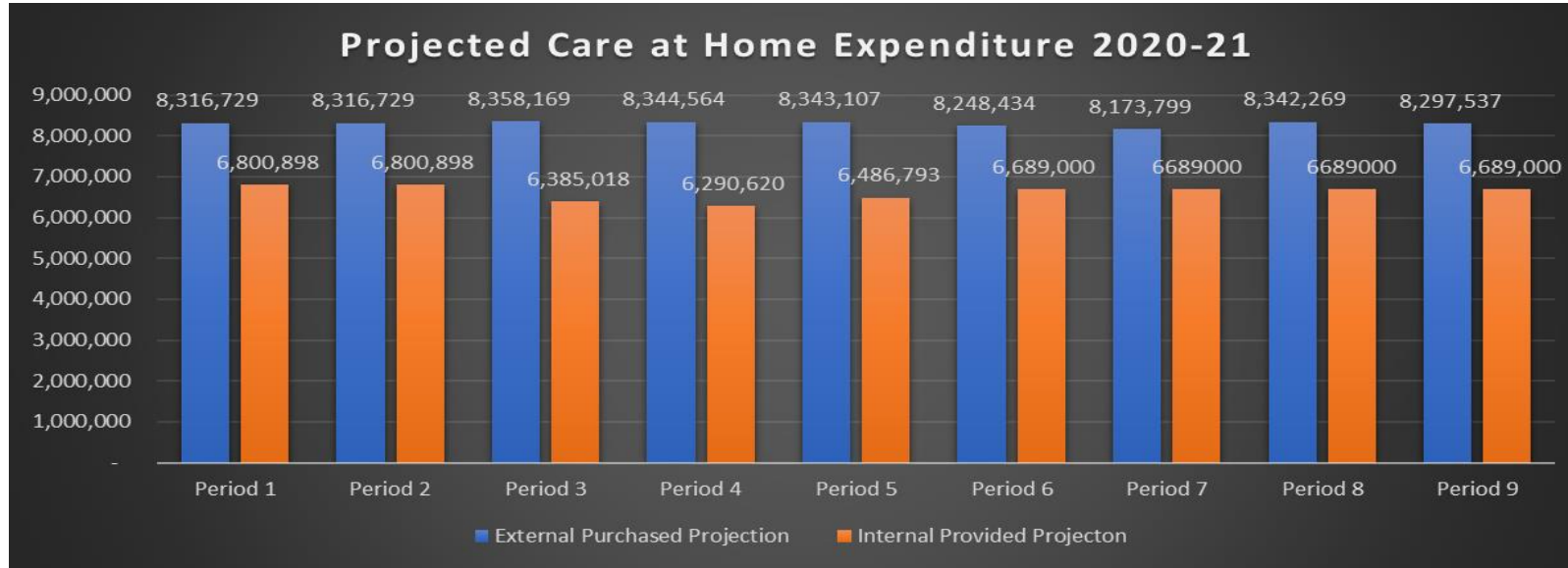
<b>NHS</b>	<b>Permanent or Temporary</b>	<b>£'000</b>
<b>Approved Budget</b>		<b>88,763</b>
Prescribing Increase	T	441
GMS Increase	T	1,831
COVID Funding for Social Care	T	1,090
COVID Funding for Social Care (Living Wage)	T	273
Covid Funding Tranche 2 share of £8m	T	745
Paid as if at work	P	(53)
Parkinson Nurse trf from North	P	109
Lochranza and Arrol Park Discharges	P	305
OT Transfer to MSK	P	(11)
South Covid Funding	T	2,792
South Covid Funding	T	2,200
Prescribing Reduction	T	(1,030)
School Student Nurses	T	184
District Nursing Funding	T	50
ADP Additional Funding	T	48
Winter Funding	T	100
Transfer AHP Admin to EAST HSCP	P	(100)
Dietetics Funding from Public Health	T	7
<b>Total Allocated to COVID Mobilisation Plan</b>	T	<b>(1,109)</b>
<b>Budget as at Period 9</b>		<b>96,635</b>

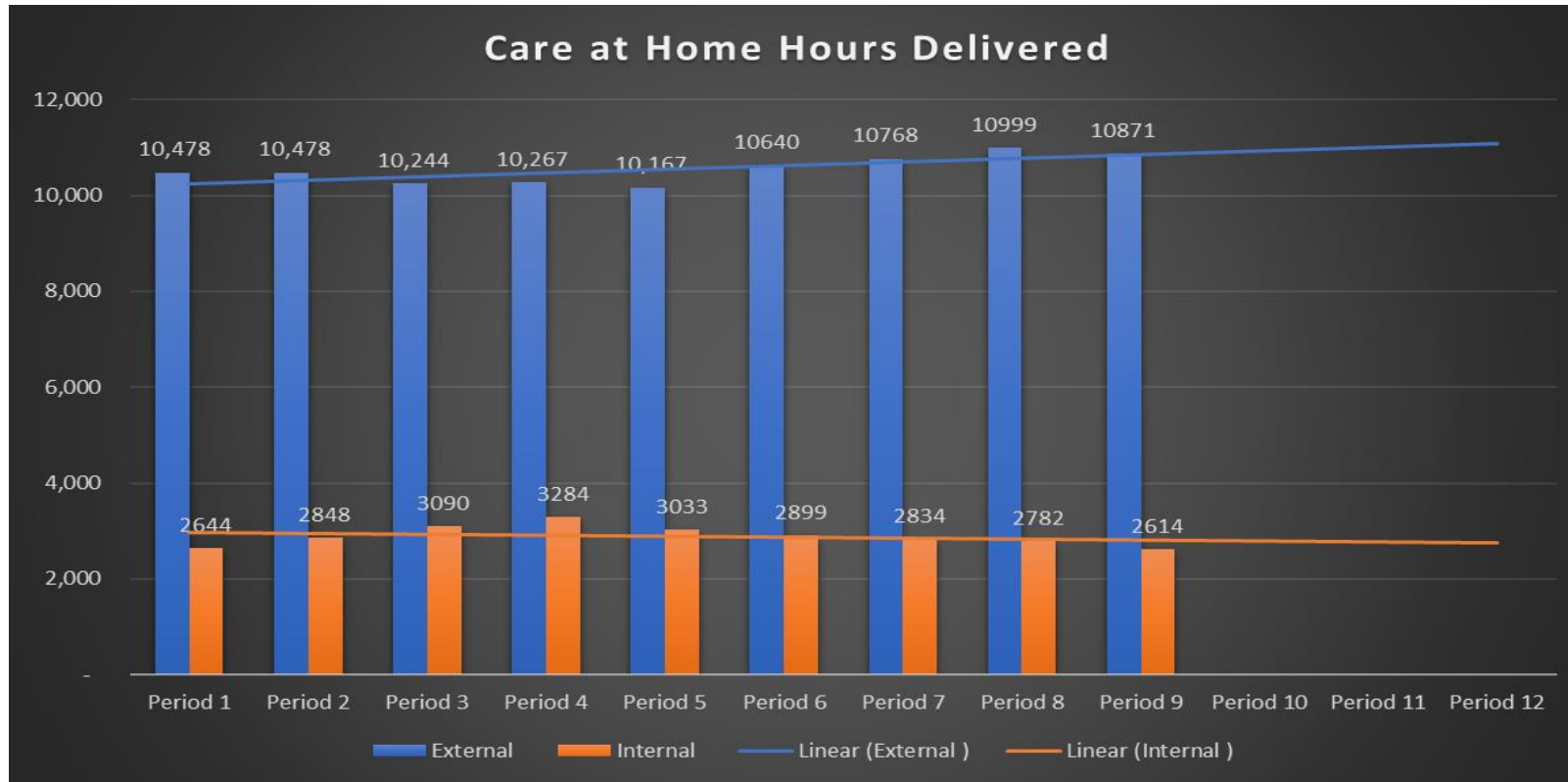
## APPENDIX D SAVINGS SCHEDULE

Saving Title	Partner	Approved	BRAG Status	£	£	Allocated to COVID	Further achievement projected	£	Comments
				Efficiencies 20-21	Achieved 20-21			Balance remaining	
Management efficiency	SAC	PY	G	(50,000)	(50,000)	0	0	0	Achieved from recurring vacancies - Admin review will identify actual posts to remove
Admin efficiency	SAC	PY	G	(56,215)	(56,215)	0	0	0	Achieved from recurring vacancies - Admin review will identify actual posts to remove
LD Day Services	SAC	PY	G	(55,900)	(55,900)		0	0	Prior Year Saving Ongoing - met on temp basis this year
SDS reclaims	SAC		G	(345,679)	(275,000)	0	(70,679)	0	Assume full achievement at present
Day care charging	SAC	PY	R	(116,000)		0	0	(116,000)	Not implemented - further consultation required
<b>Prior Year Efficiencies</b>				<b>(623,794)</b>	<b>(437,115)</b>	<b>0</b>	<b>(70,679)</b>	<b>(116,000)</b>	
C&F Contract efficiencies	SAC	2020-21	B	(60,000)	(60,000)	0	0	0	Implemented in 19/20 full year effect 20/21
Community Alarm increase 19/20	SAC	2020-21	B	(81,000)	(81,000)	0	0	0	Prior Year implemented - full year benefit 20/21
CM2000 In house homecare	SAC	2020-21	B	(223,251)	(223,251)	0		0	System Partially implemented savings achieved
Charging Inflationary increase 20/21	SAC	2020-21	B	(22,000)	(12,833)	(9,167)	0	0	Inflationary increase delayed due to covid, SG funded lost income in Covid Financial Mobilisation Return
Adult High Cost Care Packages	SAC	2020-21	B	(496,000)	(496,000)			0	Prior year care packages ended, full year saving 20/21
OWA Residential Placements	SAC	2020-21	B	(565,000)	(565,000)	0	0	0	New ways of working implemented 19/20 full year savings 20/21
Elba Gardens Development	SAC	2020-21	B	(169,250)		0	0	(169,250)	No savings materialised, budget proposals in 2021-22 will address
Review of Adult Care Packages - LD	SAC	2020-21	A	(648,998)	(324,998)	(324,000)	0	0	Partially achieved, balance allocated to Covid
Review of Adult Care Packages - MH	SAC	2020-21	B	(71,002)	(71,002)			0	Achieved
Review of Vol Orgs budgets	SAC	2020-21	A	(143,000)	(71,500)	(71,500)	0	0	Partially achieved, balance allocated to Covid
NHS Payroll Turnover	NHS	2020-21	B	(518,000)	(518,000)	0	0	0	
NHS Income Realignment	NHS	2020-21	B	(194,000)	(194,000)	0	0	0	
<b>Approved 2020-21 Efficiencies</b>				<b>(3,191,501)</b>	<b>(2,617,584)</b>	<b>(404,667)</b>	<b>0</b>	<b>(169,250)</b>	

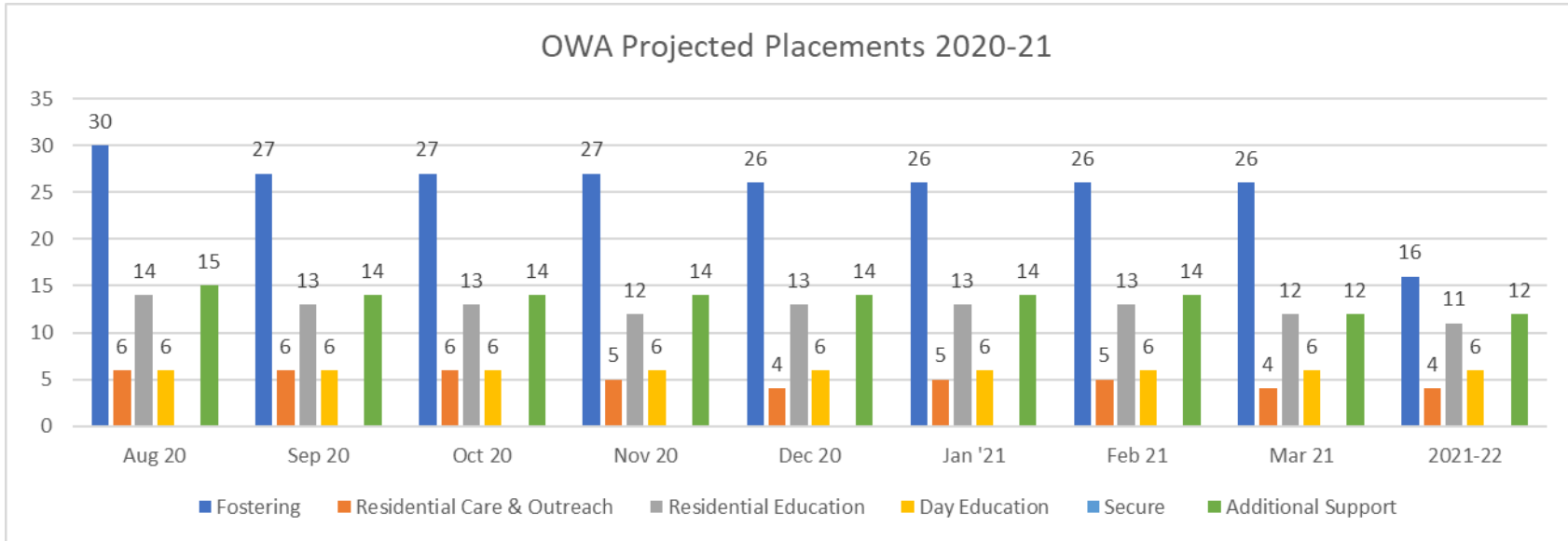
**APPENDIX E FINANCIAL PERFORMANCE AND ACTIVITY**







OWA Projected Placements 2020-21



### Familial Placements 2020-21

