

Meeting of South Ayrshire Health and Social Care Partnership	Performance and Audit Committee		
Held on	12 November 2021		
Agenda Item:	13		
Title:	HSCP Strategic Risk Register		
Summary:			
<p>The purpose of this report is to present the Performance and Audit Committee with an update on the HSCP Strategic Risk Register. The document is continuously monitored and is a regular item on the Performance and Audit Committee agenda.</p>			
Author:	John Wood, Senior Manager Planning and Performance		
<p>It is recommended that the Performance and Audit Committee:</p> <ul style="list-style-type: none"> i. Note the content of the HSCP Strategic Risk Register (Appendix 1); and ii. Note the update on current pressures facing the HSCP. 			
Route to meeting:			
<p>Strategic Risk Register developed by HSCP officers and agreed by IJB in May 2021. Performance and Audit Committee in August 2021 agreed that the risk register would be a standing item on the agenda. Strategic register is regularly reviewed and updated by the HSCP's management-level Risk and Resilience meeting.</p>			
Directions:		Implications:	
1. No Directions Required	<input checked="" type="checkbox"/>	Financial	<input type="checkbox"/>
2. Directions to NHS Ayrshire & Arran	<input type="checkbox"/>	HR	<input type="checkbox"/>
3. Directions to South Ayrshire Council	<input type="checkbox"/>	Legal	<input type="checkbox"/>
4. Directions to both SAC & NHS	<input type="checkbox"/>	Equalities	<input type="checkbox"/>
		Sustainability	<input type="checkbox"/>
		Policy	<input checked="" type="checkbox"/>
		ICT	<input type="checkbox"/>

HSCP STRATEGIC RISK REGISTER

1. PURPOSE OF REPORT

- 1.1 This report presents the Performance and Audit Committee with an update on the Strategic Risk Register, which is now a regular item on the agenda.

2. RECOMMENDATION

2.1 It is recommended that the Performance and Audit Committee:

- i. Note the content of the HSCP Strategic Risk Register (Appendix 1); and**
- ii. Note the update on current pressures facing the HSCP**

3. BACKGROUND INFORMATION

- 3.1. The refreshed HSCP Strategic Risk Register was approved by the IJB in May and was developed by officers through the HSCP's Risk and Resilience Group with input from a range of colleagues and partners within the NHS and Council.

- 3.2. The content of the Strategic Risk Register brings together highlights and high-level risks from South Ayrshire Council and NHS Ayrshire and Arran, with the addition of HSCP-specific risks. The risks that have been identified are as follows:

Theme: Protection

1. Adult and Child Protection
2. Workforce Protection
3. External Factors including Contingency Planning
4. Climate change and Sustainability

Theme: Resources

5. Financial position
6. ICT
7. Premises
8. Workforce Development
9. Provider organisations

Theme: Governance

10. Good governance, Strategic Planning and Business

Resilience

11. Population
12. Communication and Reputation
13. Service Quality

- 3.3. Although the council and NHS maintain their own approach to risk covering HSCP services, and operational risks are managed at a service level, it is

prudent and in keeping with best practice for the HSCP to maintain its own Strategic Risk Register.

4. REPORT

- 4.1 Since the last update to Performance and Audit Committee in August 2021 the Strategic Risk Register has continued to be developed by officers through the HSCP's Risk and Resilience Group with input from a range of colleagues and partners within the NHS and Council.
- 4.2 There continues to be a high degree of pressure on the hospital and community care system both in South Ayrshire and nationally, with delayed transfers of care continuing to take place and ongoing staff shortages impacting third and independent sector providers of care.
- 4.3 With this in mind, risks **7 (Workforce development)** and **9 (Provider organisations)** have been updated to reflect the current pressures on the system as well as the proposed and current mitigations actions in place to address these challenges.
- 4.4 Workforce development is now a significant risk, moving from a risk score of 8 to 16, due to the potential that there may not be enough staff to occupy all roles across the HSCP (and providers). In order to mitigate this risk the HSCP management team have established a Care at Home Short Life Working Group with proposals to recruit more staff to Care at Home by January 2022.
- 4.5 The Provider organisation risk is now significant (increasing from a risk score of 6 to 12) due to an increase in the likelihood of the potential risks identified occurring. Progress has been made on the proposed actions to mitigate this risk, with further development taking place on the Quality Assurance Framework.
- 4.6 Risk **6 (Premises)** has increased from a score of 9 to 12, which is also now a significant risk due to an increase in the potential impact of staff having limited opportunity for face-to-face collaboration due to the impact of ongoing COVID-19 restrictions. The HSCP management team are continuing to scope out what future accommodation requirements may be and are establishing a list of priority buildings for investment.

5. STRATEGIC CONTEXT

- 5.1 The HSCP Strategic Risk Register supports the achievement of the IJB's Strategic Objectives. In particular:
- *We help build communities where people are safe*
 - *We are an ambitious and effective partnership*

6. IMPLICATIONS

6.1 Financial Implications

6.1.1 There are no direct financial implications of agreeing this report.

6.2 Human Resource Implications

6.2.1 There are no HR implications to agreeing this report.

6.3 Legal Implications

6.3.1 There are no legal implications to agreeing this report.

6.4 Equalities implications

6.4.1 A full equality impact assessment will be undertaken on the strategy.

6.5 Sustainability implications

6.5.1 There are no sustainability implications to agreeing this report.

6.6 Clinical/professional assessment

6.6.1 This report does not require clinical or professional considerations to be reflected to the IJB

7. CONSULTATION AND PARTNERSHIP WORKING

7.1 This report and **Appendix 1** were developed in collaboration with partners and all relevant parts of the HSCP.

8. RISK ASSESSMENT

8.1 This report pertains directly to risk.

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