

Meeting of South Ayrshire Health and Social Care Partnership	Performance and Audit Committee
Held on:	30 June 2022
Agenda Item:	15
Title:	HSCP Strategic Risk Register
Summary:	
This report presents the Performance and Audit Committee with the HSCP Strategic Risk Register for scrutiny.	
Author:	Danielle Rae – Planning and Performance Coordinator
Recommendations	
It is recommended that the Performance and Audit Committee:	
<ul style="list-style-type: none"> i. Note the content of the HSCP Strategic Risk Register and provide appropriate commentary / scrutiny; ii. Note the content of the HSCP Strategic Risk Register (Appendix 1) and provide appropriate commentary / scrutiny iii. Amend the Workforce Development risk to include Workforce Capacity and Capability iv. Approve completed proposed mitigations 1.1, 1.3, 5.2, 5.3, 5.4, 8.1, 10.5, 11.1, 12.1, 12.2, 12.3 be moved to current mitigation v. Approve new mitigations (5.5, 13.4, 13.5 and 13.6) be included in the Register vi. Approve proposed mitigation 13.1 be removed from Register as now superceded 	
Route to meeting:	
Strategic Risk Register developed by HSCP officers and agreed by the IJB in May 2021 on the recommendation of the HSCP Risk and Resilience Group. The Strategic Risk Register is a standing item at Performance and Audit Committee.	
Implications:	
Financial	<input type="checkbox"/>
HR	<input type="checkbox"/>
Legal	<input type="checkbox"/>
Equalities	<input type="checkbox"/>
Sustainability	<input type="checkbox"/>
Policy	<input type="checkbox"/>
ICT	<input type="checkbox"/>

HSCP Strategic Risk Register

1. PURPOSE OF REPORT

- 1.1 This This report presents the Performance and Audit Committee with the HSCP Strategic Risk Register for scrutiny.

2. RECOMMENDATION

2.1 It is recommended that the Performance and Audit Committee:

- i. Note the content of the HSCP Strategic Risk Register (Appendix 1) and provide appropriate commentary / scrutiny
- ii. Amend the Workforce Development risk to include Workforce Capacity and Capability
- iii. Approve completed proposed mitigations 1.1, 1.3, 5.2, 5.3, 5.4, 8.1, 10.5, 11.1, 12.1, 12.2, 12.3 be moved to current mitigation
- iv. Approve new mitigations (5.5, 13.4, 13.5 and 13.6) be included in the Register
- v. Approve proposed mitigation 13.1 be removed from Register as now superseded

3. BACKGROUND INFORMATION

- 3.1 Given the vastly changed nature of strategic risk as a result of COVID-19, the HSCP management team have been working to produce a refreshed Strategic Risk Register for the HSCP. This was developed in the early part of 2021 and taken to the IJB for agreement in May.
- 3.2 Although the Council and NHS maintain their own approach to risk covering HSCP services and operational risks are managed at a service level, it is prudent and in keeping with best practice for the HSCP to maintain its own Strategic Risk Register.

4. REPORT

- 4.1. The agreed HSCP Strategic Risk Register is attached at Appendix 1 and has been developed by officers through the HSCP's Risk and Resilience Group with input from a range of colleagues and partners within the NHS and Council. The format follows South Ayrshire Council's template Risk Register.
- 4.2. The content of the Strategic Risk Register brings together highlights and high-level risks from South Ayrshire Council and NHS Ayrshire and Arran with the addition of HSCP-specific strategic risks. The risks identified are as follows:

Theme: Protection

1. Adult and Child Protection
2. Workforce Protection

3. External Factors including Contingency Planning
4. Climate Change and Sustainability

Theme: Resources

5. Financial position
6. ICT
7. Premises
8. Workforce Development
9. Provider organisations

Theme: Governance

10. Good governance, Strategic Planning and Business Resilience
11. Population
12. Communication and Reputation
13. Service Quality

- 4.3. At the IJB meeting in May 2021 these high-level risks were agreed; it was further agreed that the Performance and Audit Committee would monitor the document on a regular basis. The Committee are invited to provide any commentary or scrutiny of the risk register and are invited to note the following updates:
- 4.4. It is proposed that the **Workforce Development risk** is amended to include Workforce Capacity and Capability due to the risk that the workforce market does not produce enough staff to occupy all roles across the HSCP and providers.
- 4.5. It is proposed that under the **Adults and Children risk**, proposed mitigations 1.1 and 1.3 are now complete and should be moved to current mitigation above, if approved.
- 4.6. It is proposed that under the **Financial Position risk**, proposed mitigations 5.2, 5.3 and 5.4 are now complete and should be moved to current mitigation above, if approved. A new mitigation has been included (5.5) to be approved.
- 4.7. It is proposed that under the **Population risk**, proposed mitigation 8.1 is now complete and should be moved to current mitigation above.
- 4.8. It is proposed that under the **Provider Organisations risk**, proposed mitigation 10.5 is now complete and should be moved to current mitigation above.
- 4.9. It is proposed that under the **Service Quality risk**, proposed mitigation 11.1 is now complete and should be moved to current mitigation above.
- 4.10. It is proposed that under the **Workforce Protection risk**, proposed mitigations 12.1, 12.2 and 12.3 are now complete and should be moved to current mitigation above.

4.11. It is proposed that under the **Workforce Capacity and Capability risk**, proposed mitigation 13.1 is removed as is superseded by the Workforce Plan. New mitigations have been included (13.4, 13.5 and 13.6) to be approved.

5. STRATEGIC CONTEXT

5.1 Agreement to this report will support the achievement of the IJB's Strategic Objectives. In particular:

- *We help to build communities where people are safe*
- *We are an ambitious and effective partnership*

6. IMPLICATIONS

6.1 Financial Implications

6.1.1 There are no direct financial implications of agreeing this report.

6.2 Human Resource Implications

6.2.1 There are no direct HR implications of agreeing this report.

6.3 Legal Implications

6.3.1 There are no direct legal implications of agreeing this report.

6.4 Equalities implications

6.4.1 There are no equalities implications of this report.

6.5 Sustainability implications

6.5.1 There are no direct sustainability implications of agreeing this report.

6.6 Clinical/professional assessment

6.6.1 This report does not require clinical or professional considerations to be reflected to the IJB.

7. CONSULTATION AND PARTNERSHIP WORKING

7.1 This report and Appendix 1 were developed in collaboration with partners and all relevant parts of the HSCP.

8. RISK ASSESSMENT

8.1. This report pertains directly to risk.

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