

<p><b>Meeting of South Ayrshire Health and Social Care Partnership</b></p> <p>Held on</p>	<p><b>IJB</b></p>
<p><b>Agenda Item:</b></p>	<p><b>6</b></p>
<p><b>Title:</b></p>	<p><b>HSCP Strategic Risk Register</b></p>
<p><b>Summary:</b></p> <p>This report presents the IJB with the HSCP Strategic Risk Register presented to Performance and Audit Committee on 30<sup>th</sup> June for scrutiny.</p>	
<p><b>Author:</b></p>	<p><b>Sheila Tyeson – Senior Manager (Policy, Planning and Commissioning)</b></p>
<p><b>Recommendations</b></p> <p>It is recommended that the IJB:</p> <ul style="list-style-type: none"> <li>i. Note the content of the HSCP Strategic Risk Register (Appendix 1) and provide appropriate commentary / scrutiny</li> <li>ii. Note that further alignment with the Council Risk register will be undertaken specific to Adult Support and Protection and Child Protection risks.</li> <li>iii. Note that further work related to the development of a Risk Appetite Statement will be carried and brought to the IJB for approval in due course.</li> </ul>	
<p><b>Route to meeting:</b></p> <p>Strategic Risk Register developed by HSCP officers and agreed by the IJB in May 2021 on the recommendation of the HSCP Risk and Resilience Group. The Strategic Risk Register is a standing item at Performance and Audit Committee.</p>	
<p><b>Implications:</b></p> <p>Financial <input type="checkbox"/></p> <p>HR <input type="checkbox"/></p> <p>Legal <input type="checkbox"/></p> <p>Equalities <input type="checkbox"/></p> <p>Sustainability <input type="checkbox"/></p> <p>Policy <input type="checkbox"/></p> <p>ICT <input type="checkbox"/></p>	

## HSCP Strategic Risk Register

### 1. PURPOSE OF REPORT

- 1.1 This report presents the HSCP Strategic Risk Register which was taken to the Performance and Audit Committee for scrutiny.

### 2. RECOMMENDATION

#### **2.1 It is recommended that the Performance and Audit Committee:**

- i. Note the content of the HSCP Strategic Risk Register (Appendix 1) and provide appropriate commentary / scrutiny**
- ii. Note that further alignment with the Council Risk register will be undertaken specific to Adult Support and Protection and Child Protection risks.**
- iii. Note that further work related to the development of a Risk Appetite Statement will be carried and brought to the IJB for approval in due course.**

### 3. BACKGROUND INFORMATION

- 3.1 Given the vastly changed nature of strategic risk as a result of COVID-19, along with the strategic objective to manage risk effectively, the HSCP management team have been working to produce and maintain a refreshed Strategic Risk Register for the HSCP following IJB agreement in May 2021.
- 3.2 Although the Council and NHS maintain their own approach to risk covering HSCP services and operational risks are managed at a service level, it is prudent and in keeping with best practice for the HSCP to maintain its own Strategic Risk Register.

### 4. REPORT

- 4.1. The agreed HSCP Strategic Risk Register is attached at Appendix 1 and has been developed by officers through the HSCP's Risk and Resilience Group with input from a range of colleagues and partners within the NHS and Council. The format follows South Ayrshire Council's template Risk Register.
- 4.2. The content of the Strategic Risk Register brings together highlights and high-level risks from South Ayrshire Council and NHS Ayrshire and Arran with the addition of HSCP-specific strategic risks. The risks identified are as follows:

#### Theme: Protection

1. Adult and Child Protection
2. Workforce Protection
3. External Factors including Contingency Planning
4. Climate Change and Sustainability

Theme: Resources

5. Financial position
6. ICT
7. Premises
8. Workforce Development
9. Provider organisations

Theme: Governance

10. Good governance, Strategic Planning and Business Resilience
11. Population
12. Communication and Reputation
13. Service Quality

- 4.3. At the IJB meeting in May 2021 these high-level risks were agreed; it was further agreed that the Performance and Audit Committee would monitor the document on a regular basis. The Committee are invited to provide any commentary or scrutiny of the risk register when presented.
- 4.4. The Performance and Audit Committee agreed the attached Strategic Risk Register (Appendix 1) on 30 June 2022.
- 4.5. The Council will detail and reference this version of the Strategic Risk Register and the report presented by Service Lead – Risk and Safety, contained the hyperlink for such. This format will be used for future reports across the Council and HSCP which will reduce duplication and the potential for out of date Registers being circulated.
- 4.6. It has been recognised, particularly regarding both Adult and Child Protection the HSCP Strategic Risk Register contains additional, pertinent detail on risk mitigations and actions which sit outwith the agreed Council format. Removing or reducing this detail to meet the council format had the potential for the reader to assume that the mitigations did not reach far enough to keep people safe. By referencing and enabling access to the document agreed by Performance and Audit Committee, provides the reader with additional explanatory notes giving the breadth to the far reaching mitigations proposed and in progress for greater assurances.
- 4.7. In setting out the above measures to report on the Strategic Risk Register it was identified that a small number of target dates had changed following staffing changes within the HSCP Directorate Management team. These changes are tracked and where no further narrative on the reason for these has been provided, it has also been agreed to ensure that any target dates not met or changed as mitigations are implemented will be captured going forward to provide transparency.

Risk Appetite Statement

- 4.8. It should be noted that development work has started with the intention to bring to the IJB for agreement a Risk Appetite Statement for consideration once this has been drafted.

## 5. STRATEGIC CONTEXT

5.1 Agreement to this report will support the achievement of the IJB's Strategic Objectives. In particular:

- *We help to build communities where people are safe*
- *We are an ambitious and effective partnership*

## 6. IMPLICATIONS

### 6.1 Financial Implications

6.1.1 There are no direct financial implications of agreeing this report.

### 6.2 Human Resource Implications

6.2.1 There are no direct HR implications of agreeing this report.

### 6.3 Legal Implications

6.3.1 There are no direct legal implications of agreeing this report.

### 6.4 Equalities implications

6.4.1 There are no equalities implications of this report.

### 6.5 Sustainability implications

6.5.1 There are no direct sustainability implications of agreeing this report.

### 6.6 Clinical/professional assessment

6.6.1 This report does not require clinical or professional considerations to be reflected to the IJB.

## 7. CONSULTATION AND PARTNERSHIP WORKING

7.1 This report and Appendix 1 were developed in collaboration with partners and all relevant parts of the HSCP.

## 8. RISK ASSESSMENT

8.1. This report pertains directly to risk.

### **REPORT AUTHOR AND PERSON TO CONTACT**

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