

Meeting of South Ayrshire Health and Social Care Partnership	Performance & Audit Committee
Held on:	28th February 2023
Agenda Item:	9
Title:	HSCP Strategic Risk Register
Summary:	
This report presents the Performance and Audit Committee with the HSCP Strategic Risk Register for scrutiny.	
Author:	Rachael Graham – Planning and Performance Coordinator
Recommendations:	
It is recommended that the Performance and Audit Committee	
<ul style="list-style-type: none"> i. Note the content of the HSCP Strategic Risk Register (Appendix 1) and provide appropriate commentary / scrutiny ii. Approve completed proposed mitigations (1.1, 9.1, 11.1 & 12.1) to be moved to current mitigations 	
Route to meeting:	
The Strategic Risk Register was developed by HSCP officers and agreed by the Integration Joint Board in May 2021 on the recommendation of the HSCP Risk and Resilience Group. The Strategic Risk Register is a standing item at Performance and Audit Committee.	
Implications:	
Financial	<input type="checkbox"/>
HR	<input type="checkbox"/>
Legal	<input type="checkbox"/>
Equalities	<input type="checkbox"/>
Sustainability	<input type="checkbox"/>
Policy	<input type="checkbox"/>
ICT	<input type="checkbox"/>

HSCP Strategic Risk Register

1. PURPOSE OF REPORT

This report presents the Performance and Audit Committee with the HSCP Strategic Risk Register for scrutiny.

2. RECOMMENDATION

2.1 It is recommended that the Performance and Audit Committee

- i. Note the content of the HSCP Strategic Risk Register (Appendix 1) and provide appropriate commentary / scrutiny**
- ii. Approve completed proposed mitigations (1.1, 9.1, 11.1 & 12.1) to be moved to current mitigations**

3. BACKGROUND INFORMATION

3.1 The South Ayrshire Health and Social Care Partnership management team have been working to produce a refreshed Strategic Risk Register for the Partnership considering the changed landscape as a result of the Covid 19 Pandemic. This was developed in the early part of 2021 and taken to the IJB for agreement.

3.2 Whilst South Ayrshire Council and NHS Ayrshire and Arran maintain their own approach to risk which covers services provided by the HSCP, operational risks are managed at a service level. On this basis it is advisable and in keeping with best practice for the HSCP to develop and maintain its own Strategic Risk Register.

4. REPORT

4.1 The agreed HSCP Strategic Risk Register is attached at Appendix 1 and has been developed by officers through the HSCP's Risk and Resilience Group with input from a range of colleagues and partners within the NHS and Council. The format follows South Ayrshire Council's template Risk Register.

4.2 The Strategic Risk Register brings together highlights and high-level risks from South Ayrshire Council and NHS Ayrshire and Arran with the addition of HSCP-specific strategic risks. The risks identified are as follows:

Theme: Protection

1. Adult and Child Protection
2. Workforce Protection
3. External Factors including Contingency Planning
4. Climate Change and Sustainability

Theme: Resources

5. Financial position
6. ICT

7. Premises
8. Workforce Development (now detailed under Workforce Capacity and Capability)
9. Provider organisations

Theme: Governance

10. Good governance, Strategic Planning and Business Resilience
11. Population
12. Communication and Reputation
13. Service Quality

- 4.3 It is proposed that under the **Adults and Children risk**, proposed mitigation (1.1) **Full refresh of HSCP strategic and operational risk registers complete awaiting final approval from performance and audit committee** is now completed and be moved to current mitigations.
- 4.4 It is proposed that under **Premises risk**, proposed mitigation (9.1) **Future Operating Model (council) to be developed and implemented** is now completed as the future operating model is in place and this should be moved above to current mitigations.
- 4.5 It is proposed that under **Service Quality risk**, proposed mitigation (11.1) **Implementation of Quality Assurance Framework (adult and older people services)** is now complete and be moved to current mitigations above.
- 4.6 It is proposed that under **Workforce Development risk**, proposed mitigation (12.1) **Development of a People Plan for the HSCP** is now complete with the workforce plan submitted to Scottish Government and this should be moved to a current mitigation.
- 4.7 Elaine Hill has been removed as owner from any mitigations following her retirement at the end of January 2023. These actions will fall to Louise Gibson once she starts in post (April 2023) and this will be updated accordingly in due course.
- 4.8 Thomas Griffin (Digital Programme Manager) has been updated as the owner of proposed mitigation (11.4) **Implementation of Digital Strategy** as this falls within his remit.

5. STRATEGIC CONTEXT

- 5.1 Agreement to this report will support the achievement of the Integration Joint Board's Strategic Objectives set out within the Strategic Plan 2021-2031. In particular:

- *We help to build communities where people are safe*
- *We are an ambitious and effective partnership*

6. IMPLICATIONS

6.1 Financial Implications

6.1.1 There are no specific financial implications arising directly from the consideration of this report.

6.2 Human Resource Implications

6.2.1 There are no specific human resource implications arising directly from the consideration of this report.

6.3 Legal Implications

6.3.1 There are no specific legal implications arising directly from the consideration of this report.

6.4 Equalities implications

6.4.1 There are no specific equality implications arising directly from the consideration of this report.

6.5 Sustainability implications

6.5.1 There are no sustainability implications arising directly from the consideration of this report.

6.6 Clinical/professional assessment

6.6.1 There is no requirement for a clinical/professional assessment.

7. CONSULTATION AND PARTNERSHIP WORKING

7.1 This report and Appendix 1 have been prepared in consultation with relevant officers.

8. RISK ASSESSMENT

8.1. There are no immediate risks associated with the approval of this report.

REPORT AUTHOR AND PERSON TO CONTACT

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BACKGROUND PAPERS

20th February 2023