

Meeting of South Ayrshire Health and Social Care Partnership	Performance and Audit Committee
Held on	26th June 2023
Agenda Item:	4
Title:	South Ayrshire Health and Social Care Partnership Risk Management Strategy
Summary:	
<p>The purpose of this report is to present the recently refreshed South Ayrshire Health and Social Care Partnership Risk Management Strategy to Performance and Audit Committee for approval.</p>	
Author:	Rachael Graham, Coordinator Planning and Performance
Recommendations:	
<p>It is recommended that the Integration Joint Board</p> <p>i. Agree the content of the strategy in Appendix 1</p>	
Route to meeting:	
<p>Previous agreement of draft strategy by Tim Eltringham, Director of Health and Social Care.</p>	
Implications:	
Financial	<input type="checkbox"/>
HR	<input type="checkbox"/>
Legal	<input type="checkbox"/>
Equalities	<input type="checkbox"/>
Sustainability	<input type="checkbox"/>
Policy	<input type="checkbox"/>
ICT	<input type="checkbox"/>

South Ayrshire Health and Social Care Partnership Risk Management Strategy

1. PURPOSE OF REPORT

- 1.1 The purpose of this report is present the recently refreshed South Ayrshire Health and Social Care Partnership Risk Management Strategy to Performance and Audit Committee for approval.

2. RECOMMENDATION

2.1 It is recommended that the Integration Joint Board

- i. Agree the content of the strategy in Appendix 1**

3. BACKGROUND INFORMATION

- 3.1 Risk Management can be defined as the identification, analysis and control of risks, which threaten assets, service delivery and the achievement of agreed objectives.
- 3.2 It is the process whereby organisations methodically address the risks attached to their activities with the goal of overcoming challenges and achieving sustained benefit within their service delivery.
- 3.3 The Integration Joint Board (IJB) is committed to a culture where the workforce within its scope is encouraged to develop new initiatives, improve performance and achieve goals safely, effectively and efficiently by the appropriate application of good risk management practice.
- 3.4 The IJB purposefully seeks to promote an environment that is risk 'aware' and strives to place risk management information at the heart of key decisions. This means that the IJB can take an effective approach to managing risk in a way that both addresses significant challenges and enables positive outcomes

4. REPORT

- 1.1 Accountability and responsibility for Risk Management is clearly defined and allocated at Strategic and Operational levels. The process is scrutinised by the Performance and Audit Committee and endorsed by the Integration Joint Board for Health and Social Care
- 1.2 This Strategy is implemented by the HSCP Directorate Management Team (DMT) in a manner that promotes best practice and aids overall performance improvement which, in turn, links to the HSCP Strategic Plan.
- 1.3 There a number of benefits of having effective risk management strategy including that we make decisions based on a balanced appraisal of risk and

enable acceptance of certain risks. This enhances the positive reputation of the IJB and HSCP.

1.4 The strategy identifies a number of key HSCP risk management objectives including:

- Continue to integrate Risk Management into the culture of the organisation and raise awareness of the need to manage risk effectively through established, robust risk management systems.
- Ensure that Risk Management is not undertaken in isolation but is intrinsically linked to HSCP decision making and Performance Planning.
- Establish clear roles, responsibilities, and a reporting framework for managing risk across the HSCP for both Officers and IJB Members.
- Manage risk in accordance with best practice, but in a way that will demonstrate commitment to statutory compliance, improved service delivery, promotion of people management, health, safety and welfare, environmental sustainability, forward planning, preservation of reputation and reduced liability.

1.5 These objectives will be achieved by:

- Promoting awareness of effective Risk Management and defining the roles and responsibilities for managing risk that IJB Members and Senior Officers have in relation to risk.
- Continuing to consider the threats to the achievement of agreed IJB, HSCP and Strategic Plan objectives when developing risk mitigations.
- Utilising the agreed 'Risk Reporting' framework, established in line with Corporate Governance, including regular monitoring, reporting and review.
- Supporting the position whereby Senior Officers are clearly outlining the risk implications of HSCP decisions when preparing Panel or IJB reports as a means of ensuring fully informed decision making.
- Considering risk in its broadest sense, taking cognisance of all categories of Risk where applicable.

1.6 The key Risk Management intended outcomes for the South HSCP are:

- A Partnership-wide, consistent and evidence-based approach to managing risk across the organisation.
- Clarity and transparency in respect of decision making at all levels.

- Reduction in financial and HR costs associated with losses due to adverse incidents, service interruption, litigation, insurance premiums and claims.
- More effective service delivery organised in a manner that meets stakeholders' expectations and preserves the reputation of the Partnership.
- Consideration of the implications of anticipated legislative or regulatory demands to assist efficient allocation of resources by proactive identification of potential risks.
- Protection of employees, clients, service users, contractors and members of the public.
- Protection of property, infrastructure, equipment, vehicles and other assets

1.7 The measures of success are intended to demonstrate:

- Fewer adverse incidents / accidents / complaints;
- Less litigation / improved claims experience / lower premiums;
- Financial savings in respect of the above;
- Positive external scrutiny and reporting from enforcement agencies, external audit and other partner organisations or stakeholders.
- Enhanced reputation for South HSCP

1.8 This strategy takes a positive and holistic approach to risk management. The scope applies to all risks, whether relating to the clinical and care environment, employee safety and wellbeing, business risk, opportunities or threats.

1.9 For the risk management process to be effective, risk management information should (wherever possible) be used to guide major decisions in the same way that cost and benefit analysis is used.

1.10 Identification of risk is considered using standard methodologies, involving subject experts who have knowledge and experience of the activity or process under consideration. Risks are categorised as strategic or operational.

1.11 Roles and Responsibilities for risk management are detailed within the report.

1.12 A formal review of the South Health and Social Care Partnership Risk Management Strategy will be undertaken every 3 years, or more regularly as required by any changes to best practice, government initiatives or amended legislation.

5. STRATEGIC CONTEXT

5.1 The IJB Strategic Plan outlines seven key objectives, and the Risk Management Strategy will align with these:

- *We help build communities where people feel safe*
- *We are an ambitious and effective Partnership*
- *We make a positive impact beyond the services we deliver*

6. IMPLICATIONS

6.1 Financial Implications

6.1.1 There are no financial implications to approving this report.

6.2 Human Resource Implications

6.2.1 There are no human resource implications to approving this report.

6.3 Legal Implications

6.3.1 There are no legal implications to approving this report.

6.4 Equalities implications

6.4.1 An equalities impact scoping assessment has been carried out (appendix 2).

6.5 Sustainability implications

6.5.1 There are no sustainability implications to approving this report.

6.6 Clinical/professional assessment

6.6.1 There are no clinical or professional assessments required.

7. CONSULTATION AND PARTNERSHIP WORKING

7.1 The Risk Management strategy was written with consultation from officers at both South Ayrshire Council and NHS Ayrshire and Arran.

8. RISK ASSESSMENT

8.1. The Risk Management Strategy is the HSCP vehicle for addressing risk and mitigating any identified.

REPORT AUTHOR AND PERSON TO CONTACT

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BACKGROUND PAPERS

15th June 2023